

Claim Lodged Under Guarantee Issued User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Claim Lodged Under Guarantee Issued User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Claim Lodged Under Guarantee Issued

As part of Lodge Claim - Guarantee Issued process, the applicant can lodge a claim against the Guarantee/SBLC issued.

The various scenarios to lodge the complaint against the guarantee issued:

- Claim received from beneficiary directly at Issuing Bank
- Claim received from the Counter Issuing Bank (CIB) at Counter - Counter Issuing Bank (enable SWIFT STP)
- Claim received from LIB at CIB (enable SWIFT STP)
- Claim received from advising bank/ATB at ISB (enable SWIFT STP)
- Claim received from beneficiary bank through a swift message at ISB (enable SWIFT STP)
- Claim received at the LIB to be claimed with CIB-Enable SWIFT STP
- Claim received from LIB at CIB to be claimed from CCIB- Enable SWIFT STP

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Issuance process:

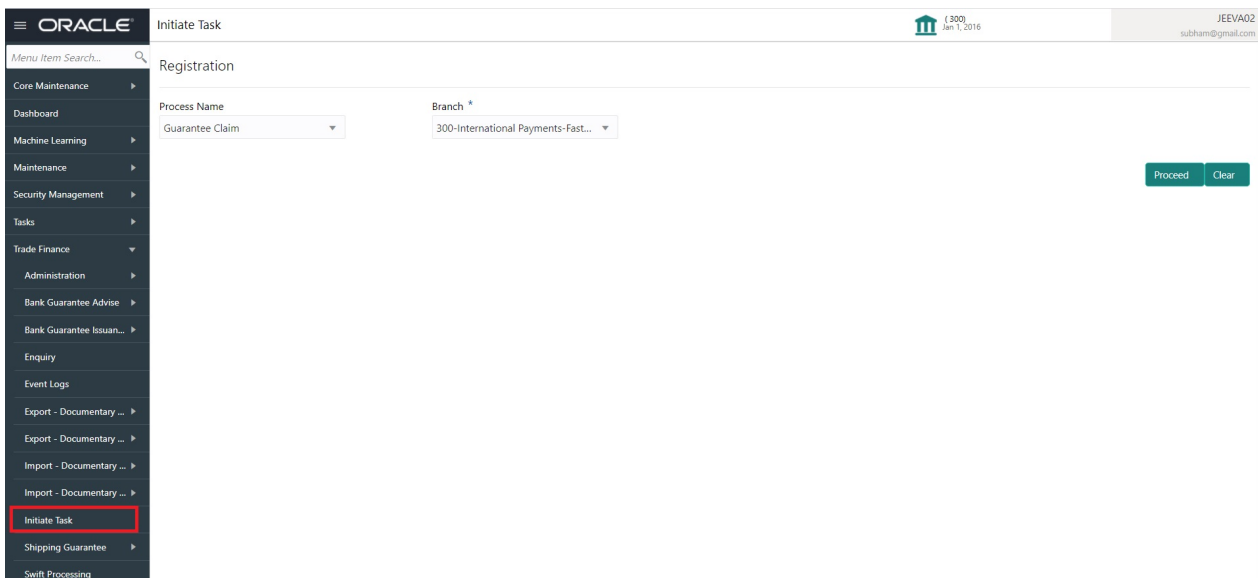
This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Scrutiny
Multi Level Approval	

Common Initiation Stage

The user can initiate the new claim under Guarantee Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

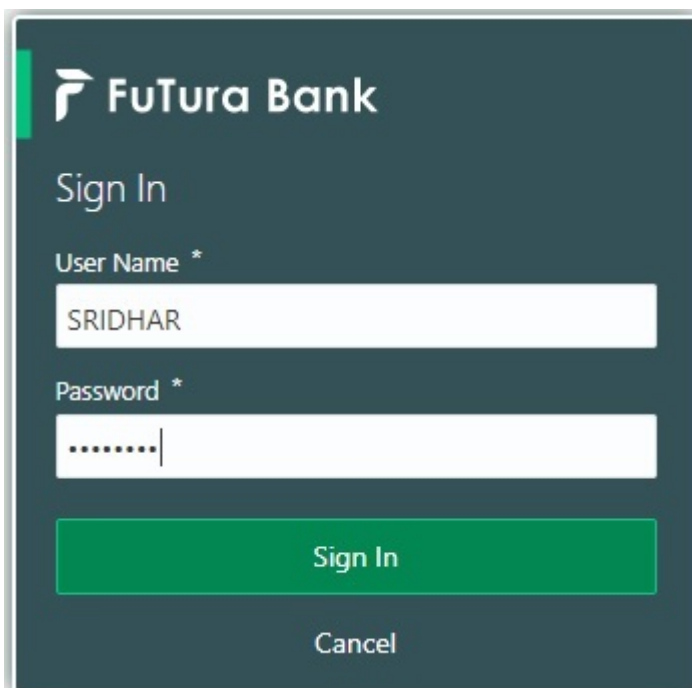
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

During the Registration stage, the user can register a claim request against the Guarantee/SBLC issued.

The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

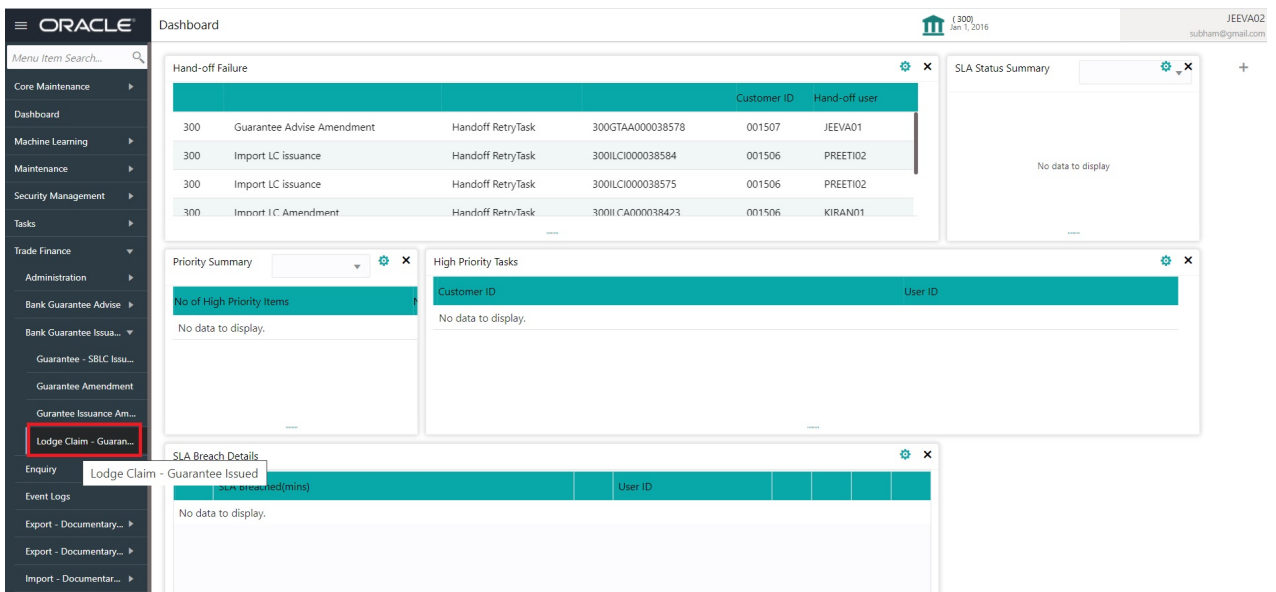
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Issuance > Lodge Claim - Guarantee Issued.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

Lodge Claim - Guarantee Issued

Documents Remarks Customer Instruction

Application Details - Main

Undertaking Number PK2GUIR211250001	Customer ID/Name * 001043 MARKS AND SPI	Branch PK2-Oracle Banking Trade Finan...	Claim Serial Number 2
Process Reference Number PK2GTEC000007179	Priority Medium	Submission Mode Desk	Claim Lodgement Date May 5, 2021
Beneficiary Reference Number			

View Guarantee/SBLC Guarantee/SBLC Events


Guarantee Details

Guarantee Type DPAY	30 Date of Issue May 5, 2021	Purpose of Message ICCO	23B Expiry Type FIXD
31E Date of Expiry Aug 3, 2021	Claim Date Aug 3, 2021	Claim Expiry Date Aug 3, 2021	Outstanding Currency/ Amount * GBP £0.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001044 GOODCARE PLC	59A Beneficiary 001043 MARKS AND SPI
Advising Bank 003763 CITIBANK IRELA	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Presenting Bank			

Hold Cancel Save & Close Submit

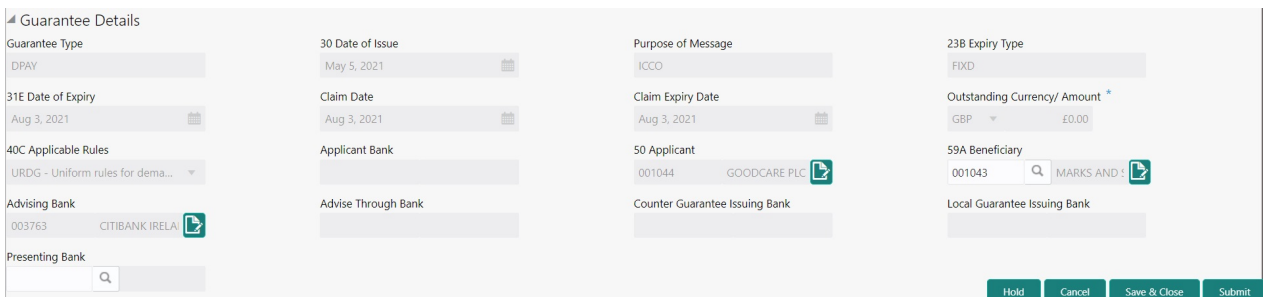
Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Undertaking Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Customer ID/ Name	Read only field. System defaults the Customer ID/ Name from Guarantee/ SBLC Issuance.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the home branch from Guarantee/ SBLC Issuance.	203-Bank Futura -Branch FZ1
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email	Desk
Claim Lodgement Date	By default, the application will display branch's current date. Read only field.  Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	User can enter the 'Beneficiary Reference number' if available.	

Guarantee Details


Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.



Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	

Field	Description	Sample Values
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	

Field	Description	Sample Values
Presenting Bank	User can select the presenting bank reference if available.  Note Currently this field is not available in OBTF.	

Miscellaneous

Lodge Claim - Guarantee Advised

Documents Remarks Customer Instruction

Application Details - Main

ABK/ATB Reference Number: PK2GUAD211251001

Beneficiary ID/Name: 001044 GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Claim Serial Number: 1

Process Reference Number: PK2GADC000007176

Priority: Medium

Submission Mode: Desk

Claim Lodgement Date: May 5, 2021

Beneficiary Reference Number: 233545657676

Issuing Bank: 003763 CITIBANK IRELA

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type: []

30 Date of Issue: May 5, 2021

Purpose of Message: ADVI

23B Expiry Type: COND

31E Date of Expiry: Aug 3, 2021

Claim Date: Aug 3, 2021

Claim Expiry Date: Aug 3, 2021

Outstanding Currency/ Amount: GBP £0.00

40C Applicable Rules: Others

Applicant Bank: []

50 Applicant: 001043 MARKS AND SPI

Advising Bank: []

Advise Through Bank: []

Counter Guarantee Issuing Bank: []

Local Guarantee Issuing Bank: []

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	Provide any additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users processing the request. Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

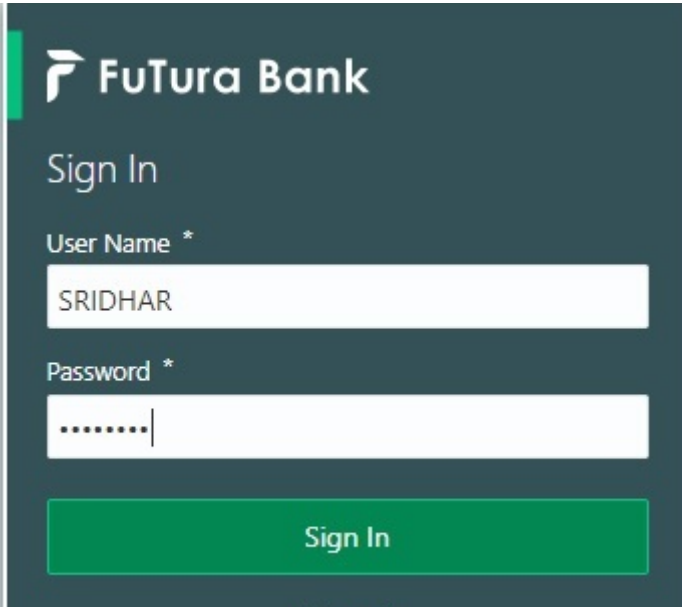
Field	Description	Sample Values
View Guarantee/SBLC	User can view the the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Issuance Registration stage input.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Documents are verified and uploaded 	

Scrutiny

On successful completion of Registration of a Guarantee issuance request, the request moves to scrutiny stage. At this stage the gathered information during Registration stage and claim request are scrutinized. As part of scrutiny, the bank user can update the various claim fields. The user should also be able to input the transaction details.

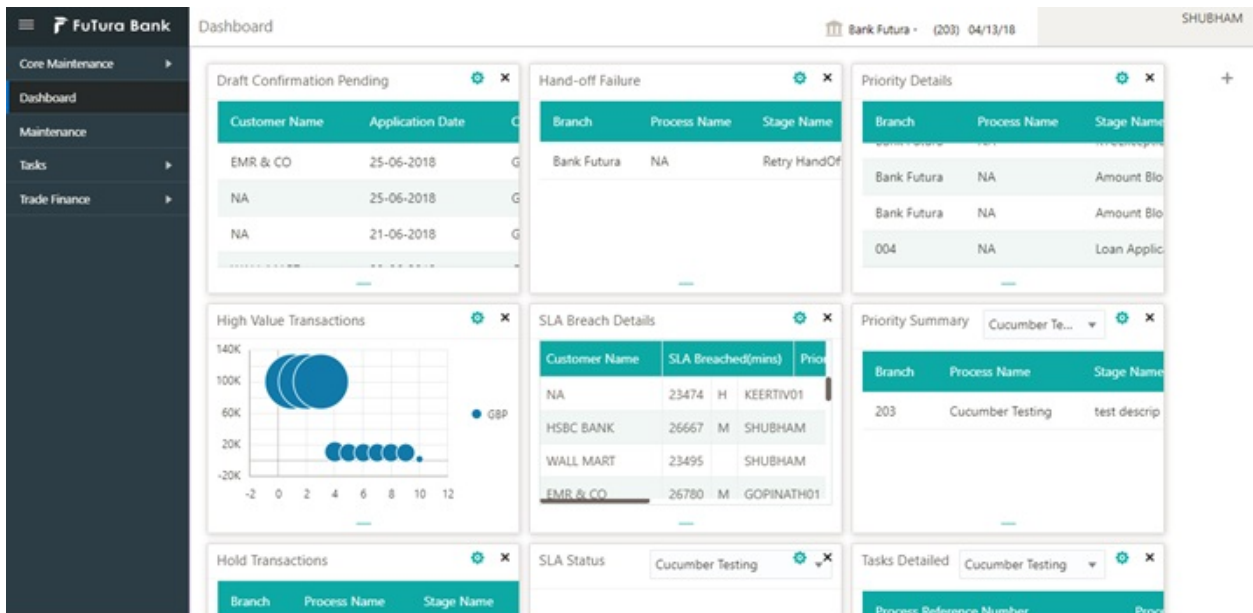
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features the bank's logo and name at the top. Below is a 'Sign In' section with two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The screenshot displays the FuTura Bank dashboard for user SHUBHAM. The interface includes a sidebar menu with options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Tasks', and 'Trade Finance'. The main dashboard area contains several data widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name', 'Application Date', and 'Status'. Data rows include 'EMR & CO' (25-06-2018), 'NA' (25-06-2018), and 'NA' (21-06-2018).
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row: 'Bank Futura', 'NA', 'Retry HandOf'.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data rows include 'Bank Futura', 'NA', 'Amount Blo', and '004', 'NA', 'Loan Applic'.
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a time-based x-axis (ranging from -2 to 12). A legend indicates 'GBP'.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'. Data rows include 'NA' (23474, H, KEERTIV01), 'HSBC BANK' (26667, M, SHUBHAM), 'WALL MART' (23495, SHUBHAM), and 'EMR & CO' (26780, M, GOPINATH01).
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row: '203', 'Cucumber Testing', 'test descrip'.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** A table with columns 'Process Reference Number' and 'Process'.

3. Click Trade Finance> Tasks> Free Tasks.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTA000039471	PK2GTA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039459	PK2GTCEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039464	PK2GTCEC000039464	Approval Task Level 1	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2	001044	£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTEI000039457	PK2GTEI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039450	PK2GTCEC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039449	PK2GTCEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTA000039471	PK2GTA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039459	PK2GTCEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039464	PK2GTCEC000039464	Approval Task Level 1	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2	001044	£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTEI000039457	PK2GTEI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039450	PK2GTCEC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039449	PK2GTCEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039455	PK2GTCEC000039455	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039428	PK2GTCEC000039428	Scrutiny	20-11-11	PK2	001044	£2,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039427	PK2GTCEC000039427	Registration	20-11-11	PK2	001044	£1,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039419	PK2GTCEC000039419	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039418	PK2GTCEC000039418	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Advise	PK2GTEA000039414	PK2GTEA000039414	Scrutiny	20-11-11	PK2	006217	£9,000.00
Edit	M	Guarantee Issuance	PK2GTEI000039413	PK2GTEI000039413	Scrutiny	20-11-11	PK2	006217	£1,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039399	PK2GTCEC000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039398	PK2GTCEC000039398	Registration	20-11-10	PK2	001044	£80,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039397	PK2GTCEC000039397	Registration	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039396	PK2GTCEC000039396	Registration	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Amendment	PK2GTEA000039371	PK2GTEA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
Edit	M	Guarantee Advise Amen...	PK2GTA000039364	PK2GTA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

The Scrutiny stage has five sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields as part of claim under Guarantee/SBLC - Scrutiny Stage. Some of the fields that are already having value from registration/online channels may not be editable.

In case of requests received through SWIFT MT765, the task will be created in Scrutiny stage directly and the fields will be populated based on the incoming request.

Main Details

Main details section has three sub section as follows:


- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

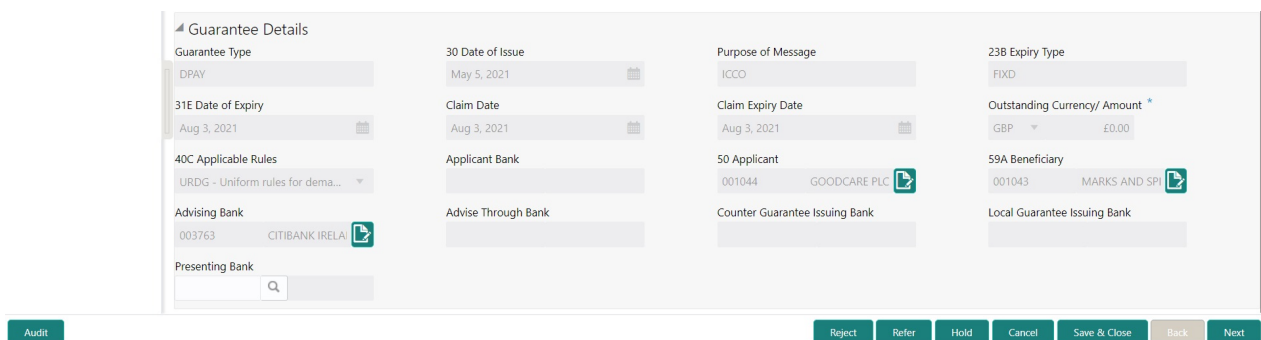
In case of SWIFT MT 765, the system displays the following fields.

Field	Description	Sample Values
Undertaking Number	In case of SWIFT MT 765, Read Only. System to populate the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference.	
Customer ID/ Name	Read only field. System defaults the Customer ID/ Name from the underlying Guarantee/ SBLC Issuance.	001345

Field	Description	Sample Values
Branch	Read only field. System defaults the branch code as applicable.	203-Bank Futura -Branch FZ1
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS00001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	In case of SWIFT MT 765 system defaults the submission mode as 'SWIFT'.	
Claim Lodgement Date	By default, the application will display branch's current date. Read only field.  Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	In case of SWIFT MT 765, System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765.	

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.



Guarantee Details

Guarantee Type DPAY	30 Date of Issue May 5, 2021	Purpose of Message ICCO	23B Expiry Type FIXD
31E Date of Expiry Aug 3, 2021	Claim Date Aug 3, 2021	Claim Expiry Date Aug 3, 2021	Outstanding Currency/ Amount * GBP £0.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001044 GOODCARE PLC	59A Beneficiary 001043 MARKS AND SPI
Advising Bank 003763 CITIBANK IRELA	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Presenting Bank			

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

In case of SWIFT MT 765, the system displays the following fields.


Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field. System defaults the expiry type as in Guarantee/ SBLC Issuance.	
Date Of Expiry	Read only field. System defaults the expiry date as in Guarantee/ SBLC Issuance.	09/30/18
Claim Date	Read only field. System defaults the claim date as in Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	Read only field. System defaults the claim expiry date as in Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	System defaults the beneficiary as in Guarantee/ SBLC Issuance.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available in issuance.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available in issuance.	

Field	Description	Sample Values
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available in issuance.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available in issuance.	
Presenting Bank	System defaults the presenting bank if available in issuance.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Issuance scrutiny stage inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  <p>Note Not applicable for STP of SWIFT MT 765.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Claim Details

Provide the Claim details based on the description in the following table:

Field	Description	Sample Values
Claim Received From	<p>User can select the option from whom the claim has been received from the drop-down list.</p> <p>The values are:</p> <ul style="list-style-type: none"> Beneficiary Local Issuing Bank Counter Issuing Bank Advising Bank Presenting Bank <p>In case of STP of Incoming MT 765, system should default the value based on the sender details available in MT 765.</p>	
Claiming Bank Reference	<p>The user can enter the claiming bank reference details, if the claimed is not received from Beneficiary.</p> <p>User can enter the Transaction Reference number from MT 765. In case of STP of incoming MT 765, system to populate the details from incoming MT 765.</p> <p>If the claim is received from the beneficiary, this field will not be editable.</p>	
Claim To	<p>User can select the party to which the claim has to be sent from the drop-down list.</p> <p>The values are:</p> <ul style="list-style-type: none"> Applicant Counter Issuing Bank 	


Field	Description	Sample Values
Date of Demand	<p>User can enter the date on which the demand is issued by the beneficiary.</p> <p>In case of STP of Incoming MT 765, the System to populate the value in tag 31L, Date of Demand from incoming MT 765.</p>	
Demand Type	<p>This field specifies the type of demand.</p> <p>The values are:</p> <ul style="list-style-type: none"> • PAEX- Pay or extend • PAYM- Pay only. <p>In case of STP of Incoming MT 765, the demand type is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p>	
Claim Currency/ Amount	<p>User can select the currency for claim and enter the claim amount.</p>	
New Expiry Date	<p>User can enter the new expiry date, if Demand Type field is 'PAEX -Pay or extend'.</p> <p>This field is disabled if the Demand Type is 'PAYM- Pay only'.</p> <p>In case of STP of Incoming MT 765, the new expiry date is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p> <p>System validates that the New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date</p>	
Demand Statement	<p>This field specifies the narrative text that constitutes the demand.</p> <p>The codes can be:</p> <ul style="list-style-type: none"> • COMP: Complete demand, no other documentation to accompany or follow this message. • INCP: Incomplete demand, supporting documentation to be presented separately. <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.</p>	

Field	Description	Sample Values
Presentation Completion Details	<p>The user can enter the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p>	
Additional Amount Information	<p>The user can enter the details on additional amount in this field.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim</p>	
Intermediary	<p>The user can enter the Intermediary bank details. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>In case of Non-Online, User can input the value as per claim.</p>	
Account with Institution	<p>The user can enter the details of Account with Institution.</p> <p>This field specifies the financial institution at which the amount claimed is to be settled.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming message.</p> <p>In case of Non-Online, User can input the value as per claim</p>	
File Identification	<p>The user can enter the File identification.</p> <p>This field identifies the type of delivery channel and associated file name or reference.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>Non-Online – Not Applicable</p>	
Sender to Receiver Information	<p>The user can enter the Sender to receiver information from the incoming message in this field.</p> <p>In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.</p> <p>Non-Online – Not Applicable.</p>	

Action Buttons

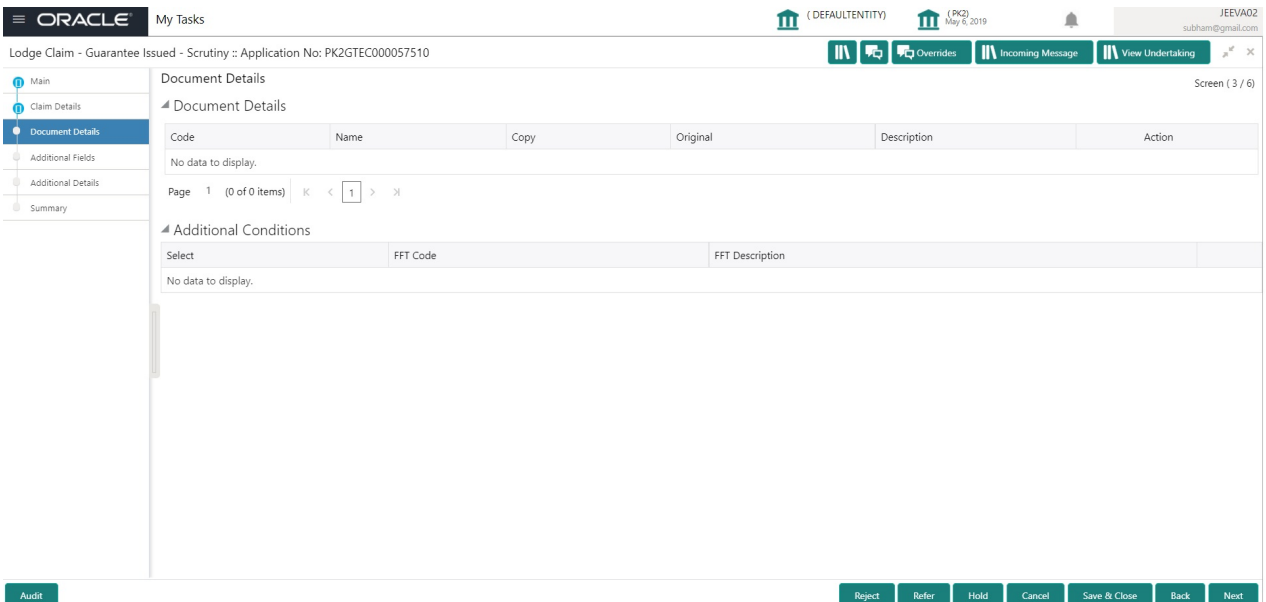
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Issuance scrutiny stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <div style="text-align: center;">  <p>Note</p> <p>Not applicable for STP of SWIFT MT 765.</p> </div>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Document Details

In Document Details, the user can to view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.



Provide the Document details based on the description in the following table:


In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Field	Description	Sample Values
Code	User can enter the document code.	
Name	System defaults the document name based on the document code.	
Copy	Copy of the document.	
Original	Original claim document.	
Description	User can enter the description of the document if any.	
Documents Received	User can enter the details of document received.	

Action Buttons

Use action buttons based on the description in the following table:

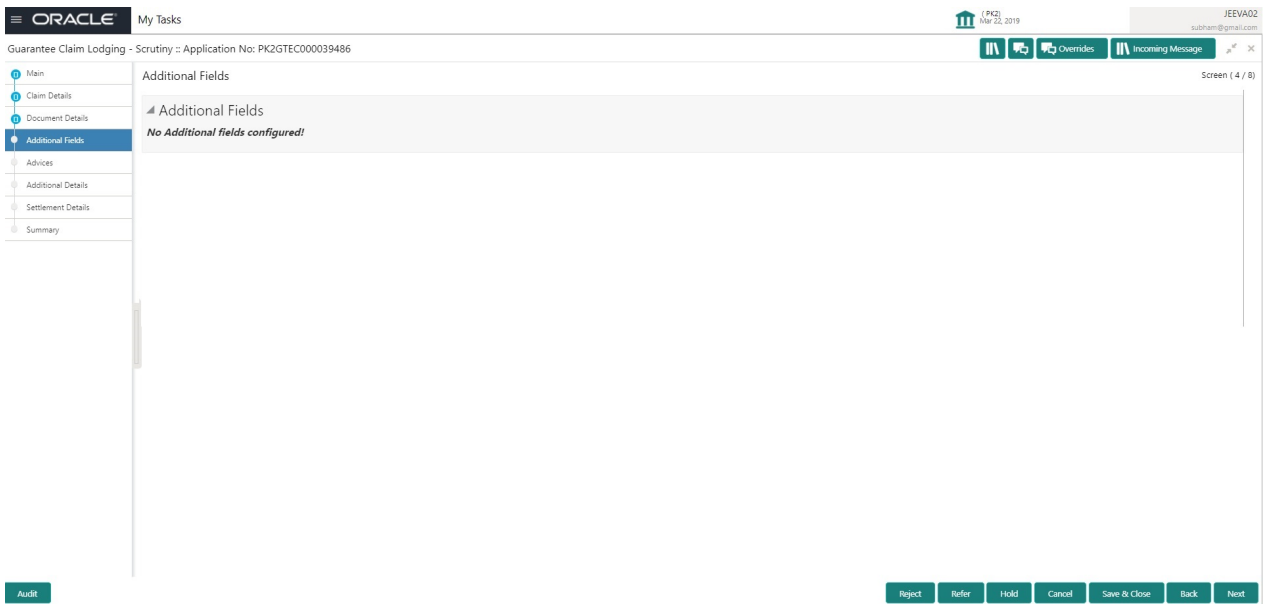
Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.


In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advise Amendment inputs.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

- Main
- Claim Details
- Document Details
- Additional Fields
- Advices**
- Additional Details
- Settlement Details
- Summary

Advices

Advice : GUA_CLAIM_ADV

Advice Name : GUA_CLAIM_ADV
 Advice Party : APP
 Party Name : GOODCARE PLC
 Suppress : NO
 Advice

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID: 001044

Advice Name: GUA_CLAIM_ADV

Party Name: GOODCARE PLC

Medium: MAIL

Advice Party: APP





FFT Code

No data to display.

Instructions

OK Cancel


Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>  <p>Note Not applicable for STP of SWIFT MT 765.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 765.</p>	
View Undertaking	<p>Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.</p>	

Additional Details

As a part of Additional details section, Guarantee /Standby claim may have impact on the Limits & Collaterals.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

- Main
- Claim Details
- Document Details
- Additional Fields
- Additional Details**
- Summary

Additional Details Screen (5 / 6)

Limit & Collateral	Charge Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : 790 Contribution : Not Verified Collateral Status :	Charge : Commission : Tax : Block Status :

Audit Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

Provide the Limit Details based on the description in the following table:

Limit & Collateral x

Limit Details +

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	US\$9,000.00			001044	

Collateral Details +

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	10	GBP	US\$790.00	PK20010440017			Cash Collateral	

Save & Close Close

Limit Details x

Customer Id 001044 <input type="text"/>	Line ID * 001044_GB <input type="text"/>
Contribution % * 100.0 <input type="text"/>	Limits Description <input type="text"/>
Contribution Currency GBP <input type="text"/>	Contribution Amount * £9,000.00 <input type="text"/>
Limit Currency GBP <input type="text"/>	Limit Available Amount £9,99,999.00 <input type="text"/>
Limit Check Response Available <input type="text"/>	Response Message The Earmark can be performed as the f <input type="text"/>
Expiry Date 24-Dec-2020 <input type="text"/>	

Verify

Save & Close Close

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Limit Details.	
Plus Icon	Click plus icon to add new Limit Details.	
Minus Icon	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution	<p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	0	GBP	US\$0.00	PK20010440017			Cash Collateral	

Save & Close Close

Collateral Details ✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
20300134500000000010 🔍

Settlement Account Currency
GBP

Response
Available

[Verify](#)

Collateral % *
10 ▼ ▲

Contribution Amount *
£3,000.00

Settlement Account Branch
203

Account Available Amount
£6,938,234.82

Response Message
The amount block can be performed as:

[✓ Save & Close](#)
[✕ Cancel](#)

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	

Field	Description	Sample Values
Currency	The guarantee currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details x

Recalculate
Redefault

▲ Charge Details

			Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018 <input type="text" value=""/>
LCGLM	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018 <input type="text" value=""/>
LCSWIFTIS	GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018 <input type="text" value=""/>
OTHBNKCHG	GBP			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK100001540018 <input type="text" value=""/>

▲ Commission Details

Save & Close
Close

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	

Field	Description	Sample Values
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

Provide the Commission Details based on the description provided in the following table:

Commission Details								
Component	Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.								

Field	Description	Sample Values
Component	Select the commission component	

Field	Description	Sample Values
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:


Tax Details			
Component	Currency	Amount	Settlement Account
No data to display.			

Field	Description	Sample Values
Component	Tax Component type.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.  Note Not applicable for STP of SWIFT MT 765.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Summary

User can review the summary screen for Guarantee /Standby Claim request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system, user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.


Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Guarantee Claim.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>  <p>Note Not applicable for STP of SWIFT MT 765.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

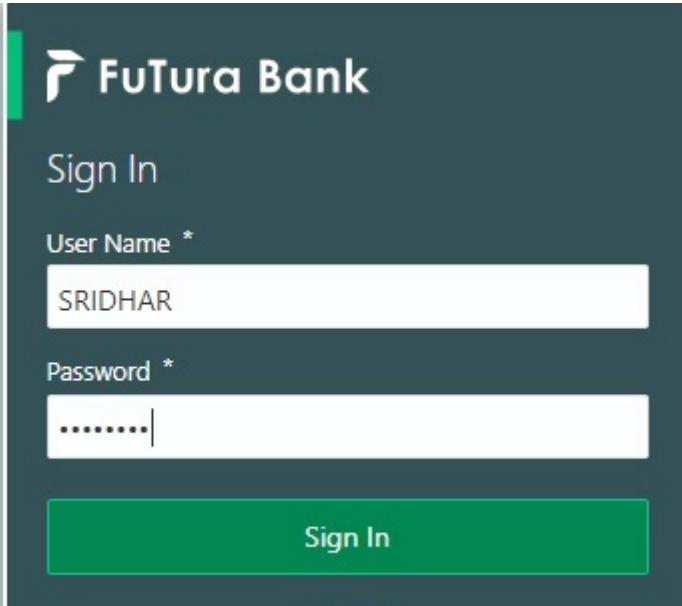
Data Enrichment

As part of Data Enrichment, user can enter/update the various fields of the claim request. The user can also input the transaction details.

In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

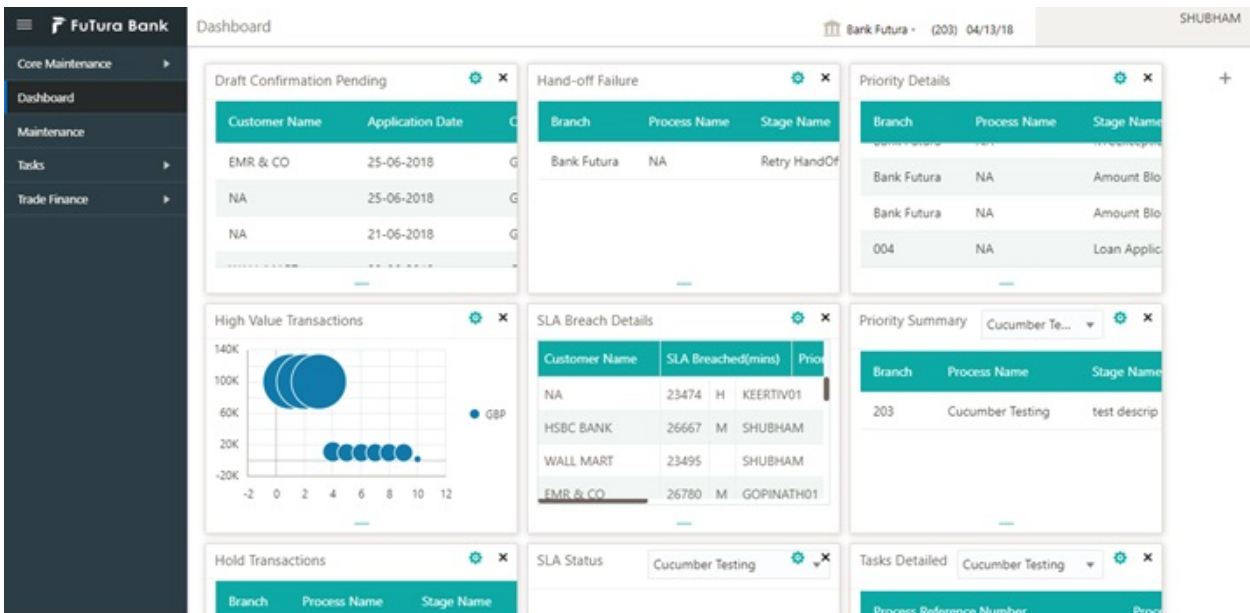
Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark teal header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' which is masked with dots. A large green button labeled 'Sign In' is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. On the left is a navigation sidebar with menu items: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area contains several widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name' and 'Application Date'.

Customer Name	Application Date
EMR & CO	25-06-2018
NA	25-06-2018
NA	21-06-2018
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic
- High Value Transactions:** A bubble chart showing transaction values for different currencies, with a legend for GBP.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'.

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** A dropdown menu currently set to 'Cucumber Testing'.

3. Click Trade Finance> Tasks> Free Tasks.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTA000039471	PK2GTA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039459	PK2GTCEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039464	PK2GTCEC000039464	Approval Task Level 1	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2	001044	£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTGEI000039457	PK2GTGEI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTGEC000039450	PK2GTGEC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTGEC000039449	PK2GTGEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTA000039471	PK2GTA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039459	PK2GTCEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039464	PK2GTCEC000039464	Approval Task Level 1	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2	001044	£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTGEI000039457	PK2GTGEI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTGEC000039450	PK2GTGEC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTGEC000039449	PK2GTGEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Lodge Claim - Guarant	PK2GTCEC000039486	PK2GTCEC000039486	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039455	PK2GTCEC000039455	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039428	PK2GTCEC000039428	Scrutiny	20-11-11	PK2	001044	£2,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039427	PK2GTCEC000039427	Registration	20-11-11	PK2	001044	£1,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039419	PK2GTCEC000039419	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039418	PK2GTCEC000039418	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Advise	PK2GTEA000039414	PK2GTEA000039414	Scrutiny	20-11-11	PK2	001044	£9,000.00
Edit	M	Guarantee Issuance	PK2GTGEI000039413	PK2GTGEI000039413	Scrutiny	20-11-11	PK2	006217	£1,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039399	PK2GTCEC000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039398	PK2GTCEC000039398	Registration	20-11-10	PK2	001044	£80,000.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039397	PK2GTCEC000039397	Registration	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Claim Lodging	PK2GTCEC000039396	PK2GTCEC000039396	Registration	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Amendment	PK2GTEA000039371	PK2GTEA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
Edit	M	Guarantee Advise Amen...	PK2GTA000039364	PK2GTA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

The Data Enrichment stage has three sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Main Details

Refer to [Main Details](#).

Claim Details

Refer to [Claim Details](#).

Document Details

As a part of Data Enrichment the user can capture the documents under acclaim and user must be able to input the details if required.

The screenshot displays the Oracle application interface for 'Lodge Claim - Guarantee Issued - DataEnrichment :: Application No: PK2GTEC000057510'. The left sidebar contains a navigation menu with the following items: Main, Claim Details, Document Details (selected), Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Document Details' and contains two tables. The first table, 'Document Details', has columns for Code, Name, Copy, Original, Description, and Action, and currently shows 'No data to display.' The second table, 'Additional Conditions', has columns for Select, FFT Code, and FFT Description, also showing 'No data to display.'. The page includes a pagination control for 'Page 1 (0 of 0 items)' and a bottom navigation bar with buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

System defaults the document details if documents to be submitted were provided in the Guarantee Issuance, else the user can capture the documents submitted under the claim.

Refer to [Document Details](#)

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Oracle Free Tasks (DEFAULTENTITY) (PK2) May 6, 2019 JEEVA02 subham@gmail.com

Lodge Claim - Guarantee Issued - DataEnrichment :: Application No: PK2GTEC000057510

Main
Claim Details
Document Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Fields

Screen (4 / 8)

Audit Reject Refer Hold Cancel Save & Close Back Next

Refer to [Additional Fields](#).

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

Oracle Free Tasks (PK2) Mar 22, 2019 JEEVA02 subham@gmail.com

Guarantee Claim Lodging - DataEnrichment :: Application No: PK2GTEC000039522

Main
Claim Details
Document Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advices

Advice : GUA_CLAIM_ADV

Advice Name : GUA_CLAIM_ADV
Advice Party : APP
Party Name : GOODCARE PLC
Suppress : NO
Advice

Screen (5 / 8)

Audit Reject Refer Hold Cancel Save & Close Back Next

Refer to [Advices](#).

Additional Details

Refer to [Additional Details](#).

Preview Message

Based on details captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee Advice Scrutiny inputs.</p>	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking the Back, system should move the task to the previous segment.</p>	

Settlement Details

Provide the settlement details based on the description in the following table:

Lodge Claim - Guarantee Issued - DataEnrichment :: Application No: PK2GTEC000003778

Screen (7 / 8)

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
No data to display.							

Audit Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

Summary

User can review the summary of details updated in Data Enrichment stage for claim logged under Guarantee / SBLC Issued request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTFPM) system interface. The main content area is titled "Summary" and contains several data tiles. The tiles are arranged in a grid and provide a comprehensive overview of the claim details. The tiles include:

- Main:** Booking Date (2019-03-22), submissionMode (Desk), amount (GBP 25000).
- Claim Details:** Demand Type (Q), New ExpiryDate, Intermediary.
- Document Details:** doc1 (UPLD_DOC_2).
- Additional Fields:** Click here to view Additional Fields.
- Advices:** Advice1 (GUA_CLAIM).
- Limits and Collaterals:** limitCurr, limitContr, limitStat (Not Verified), collCurr, Collateral Contr. (6108.4), collStatus (Not Verified).
- Commission, Charges and taxes:** charge (GBP50), commission, tax, blockStatus (Not Initia).
- Preview Messages:** language (ENG), previewMessage (-).
- Settlement Details:** component (OTHBNKCHG), accountNumber (PK10000154), currency (GBP).
- Party Details:** app (GOODCARE PLC), abk (WELLS FARG), ben (MARKS AND).
- Compliance:** kyc (Not Initia), sanctions (Not Initia), aml (Not Initia).

The interface includes a navigation menu on the left, a top header with "ORACLE" and "Free Tasks", and a bottom toolbar with buttons for "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", "Print", and "Submit".

Tiles Displayed in Summary

- **Main Details** - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- **Party Details** - User can view the party details like beneficiary, advising bank etc.
- **Claim Details** - User can view the claim details.
- **Documents Details**- User can view the Document details.
- **Additional Fields** - User can view the additional fields.
- **Limits and Collaterals** - User can view the limits and collateral details. User can modify the details if required.
- **Commission, tax and Charges** - User can view the details provided for charges. User can modify the details if required.
- **Preview Messages** - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- **Settlement Details** - User can view the settlement details.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	

Multi Level Approval

This stage allows the approver user to approve a Claim Lodged under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT 765, Approval stage processing is same as in Offline Processing for Guarantee Claim.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking
- Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the Oracle OBTFPM application interface. At the top, it shows 'Free Tasks' and a navigation menu with options like 'Refresh', 'Acquire', 'Assign', and 'Flow Diagram'. Below this is a table with columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, Customer Number, and Amount. The table lists various tasks such as 'Export Documentary Co...', 'Import LC Issuance', and 'Guarantee Advise'.

An 'Approval Rekey' dialog box is overlaid on the table. It contains the following fields and values:

- Contract Amount: £25,000.00 (with a green checkmark)
- Currency: GBP (with a green checkmark)
- Maturity Date: Jan 26, 2021 (with a calendar icon)

At the bottom of the dialog box, there are three buttons: 'Refer', 'Close', and 'Proceed'. A red error icon is visible in the bottom left corner of the dialog box.

Summary

ORACLE Free Tasks FLEXCUBE UNIVERSAL BAN... SRIDHAR02
Jan 1, 2014 subham@gmail.com

Summary :: Application Number : 300GTEI000030653 Documents Remarks

Main Details Guarantee Type : BILL Submission Mode : Desk Date Of Issue : 2016-01-01	Guarantee Preferences Click here to view : Guarantee Preference	Local Guarantee Click here to view : Local Guarantee	Party Details Beneficiary : GOODCARE PLC Applicant : MARKS AND Advising Bank : WELLS FARG	Additional Fields Click here to view : Additional fields
Charge Charge : Commission : Tax : Block Status : Not Initia	Limits Details Limit Currency : GBP Limit Contribution : 47500 Limit Status : Available Collateral Currency : GBP Collateral Contr. : 2500 Collateral Status : Available	Compliance KYC : Not Initia Sanctions : Verified AML : Verified		

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Entries - User can view the accounting entries.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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